

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

APR 18 2001

1. CONTRACT ID CODE

APR 3 2001

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2. AMENDMENT/MODIFICATION
M029

3. EFFECTIVE DATE
See Block 16C

4. REQUISITION/PURCHASE REQ. NO.
NOPR

5. PROJECT NO. (If applicable)

6. ISSUED BY

CODE

U. S. Department of Energy
Oak Ridge Operations Office, AD-421
P. O. Box 2001
Oak Ridge, TN 37831-8757

7. ADMINISTERED BY (If other than Item 6)

CODE

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)

UT-Battelle, LLC
Attn: David C. Rice, Director, Contracts
P. O. Box 2008
Oak Ridge, TN 37831-6443

(X)

9A. AMENDMENT OF SOLICITATION NO.

9B. DATED (SEE ITEM 11)

X

10A. MODIFICATION OF CONTRACT/ORDER
DE-AC05-00OR22725

10B. DATED (SEE ITEM 13)
October 18, 1999

CODE

FACILITY CODE

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATION

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers ___ is extended. ___ is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:

(a) By completing items 8 and 15, and returning ___ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOU ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ ORDERS, IT MODIFIES CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.

B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).

X C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
Clause I-140 and P.L. 95-91

D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor [] is not, [X] is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/ MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to revise Appendix E by incorporating Requirements Change Notice No. OR-04 attached hereto.

Except as provided herein, all terms and conditions of the document referenced in Item 9A and 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)

Greg L. Turner
Chief Financial Officer

16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)

Susan G. Hiser
Contracting Officer

15B CONTRACTOR/OFFEROR

Greg L Turner
(Signature of person authorized to sign)

15C. DATE SIGNED

4-10-01

16B. UNITED STATES OF AMERICA

BY Susan G. Hiser
(Signature of Contracting Officer)

16C. DATE SIGNED

4/11/01

**U.S. Department of Energy
REQUIREMENTS CHANGE NOTICE**

No. OR-04

Page 1 of 18 Pages

Project	Location
Contract Baseline Documentation	Oak Ridge, Tennessee
Contractor	
UT-Battelle, LLC	
Contract No.	Date of Contract
DE-AC05-00OR22725 Special Contracts Requirements Clause I-140	April 1, 2000

This Requirements Change Notice (RCN) No. OR 04 incorporates into Appendix E, Contract No. DE-AC05-00OR22725, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Appendix E are indicated by bold type.

Below is a list of the orders incorporated herein:

DOE O 413.3
ORO O 430, Chapter II, Change I
DOE N 430.3
DOE M 472.1-1A
DOE N 473.6
DOE N 473.7

Delete:

ORO O 220, Chapter IX
DOE O 413.3 cancels and replaces DOE N 430.1 which is not on Appendix E and DOE 430.1A paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4), and (8); 7e(3), (10), and (17); Attachment 1, Definitions (Items 30, 42, and 48), and Attachment 2, Paragraph 1d, regarding a project management system, which is in Appendix E.

DOE M 472.1-1

Revised:

WSS, Chg.. 2 - Nuclear Hot Cell Facility for Building 4501
WSS, Chg. 3 - Radiochemical Research Facilities for Buildings 2026 and 5505
WSS, Chg. 8 - Other Industrial, Radiological, Non-Radiological Hazard Facilities
WSS, Chg. 9 - Other Industrial, Radiological, Non-Radiological Hazard Facilities

S/RID - Emergency Management, Change 1,

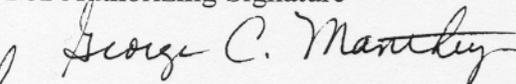
Other Applicable Directives: None

Attachments:

- 1) Appendix E - Baseline List of Required Compliance Documents (3/30/2001)
- 2) Outline of RCN No. 04 Changes (3/30/2001)

DOE Authorizing Signature

Date: March 30, 2001


George J. Malosh, Contracting Officer's Representative

OUTLINE OF RCN NO. 04 CHANGES

ITEM	DATE	TITLE	CHANGE #	AUTHORIZATION
<u>OD #026</u>				
DOE	N 473.6	09/18/2000	Security Conditions	DOE ltr dtd 11/13/2000 & UT-Battelle ltr dtd 12/13/2000
Adds DOE N 473.6				
<u>OD #027</u>				
WSS	12/11/2000	Other Industrial, Radiological, and Non-Radiological, Hazard Facilities	<u>8</u>	DOE ltr dtd 12/11/2000 & UT-Battelle ltr dtd 12/08/2000
Adds Aviation Safety Standards				
<u>OD #028</u>				
DOE	O 413.3	10/13/2000	Program and Project Management for the Acquisition of Capital Assets	DOE ltr dtd 11/27/2000 UT-Battelle ltr dtd 12/20/2000 Note: OD referenced 413.1 rather than 413.3.
Adds DOE O 413.3 which cancels and replaces DOE N 430.1 which is not on Appendix E and DOE 430.1A paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4), and (8); 7e(3), (10), and (17); Attachment 1, Definitions (Items 30, 42, and 48), and Attachment 2, Paragraph 1d, regarding a project management system, which is in Appendix E.				
<u>OD #029</u>				
ORO	O 430 Chapter II	06/14/1996	In-House Energy Management	<u>1</u> DOE ltr dtd 11/27/2000 UT-Battelle ltr dtd 12/20/2000
11/04/1998				
Adds ORO O 430, Chapter II, Change I, and cancels and replaces ORO O 430, Chapter II .				
<u>OD #030</u>				
WWS	01/09/2001	Radiochemical Research Facilities	<u>3</u>	UT-Battelle (Murr) e-mail dated 01/09/2001
Correction WSS, Change 3, has been issued to correct Change 1 which erroneously included the Radiochemical Research Facilities for Buildings 2026 and 5505 in the 6/23/99 Category 2 (initiated to add DOE O 420.1, Nuclear Criticality Safety, "Additional Recommended Standards" for Section 4.3.3b to five other WSS sets) into Appendix E baseline				

OUTLINE OF RCN NO. 04 CHANGES

ITEM	DATE	TITLE	CHANGE #	AUTHORIZATION	
ORO	O 220	01/21/1999		Auditing of Programs and Operations	DOE ltr dtd 12/26/2001
	Chapter IX	09/30/1996			

Deletes ORO O 220, Chapter IX

OD #031

WSS	01/09/2001	Nuclear Hot Cell Facility Building 4501	2	UT-Battelle (Murr) e-mail dtd 01/09/2001
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Correction to delete "DOE Order 440.1A, Attachment 2, Section 15(a) Worker Protection Management for DOE Federal and Contractor Employees, Firearms Safety (03/27/98), and to add, "DOE Order 440.1A, Attachment 2, Section 16(a) Worker Protection Management for DOE Federal and Contractor Employees, Firearms Safety (03/27/98).

OD #032

DOE	N 473.7	10/26/2000	Explosive Detection Program	DOE ltr dtd 12/26/2000 UT-Battelle ltr dtd 01/22/2001
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Adds DOE N 473.7, Explosive Detection Program

OD #033

DOE	N 430.3	12/13/2000	In-House Energy Management	DOE ltr dtd 01/16/2001 UT-Battelle ltr dtd 01/30/2001
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Adds DOE N 430.3 In-House Energy Management, Extension of DOE O 430.2

OD #034

WSS	02/05/2001	Other Industrial, Radiological, and Non-Radiological Hazard Facilities	9	UT-Battelle (Murr) e-mail dtd 02/05/2001
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Adds 10 CFR 830.120, Price Anderson Amendments Act (P-AAA) rule, Quality Assurance Requirements. Include the following note, "This Rule applies only to the Radiological Facilities of the WSS set".

OD #035

DOE	O 151.1A	11/01/2000	Comprehensive Emergency Management System	1	DOE ltr dtd 12/08/2000 UT-Battelle ltr dtd 01/22/2001
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Adds DOE O 151.1A and deletes DOE O 151.1, Change 1, S/RID Emergency Management

OUTLINE OF RCN NO. 04 CHANGES

OD #036

DOE M 472.1-1A 11/16/2000 Personnel Security Program Manual

DOE ltr dtd 01/16/2001
UT-Battelle ltr dtd 02/07/2001

Adds DOE M 472.1-1A and deletes DOE M 472.1-1