

ORO CONTROL FORM - FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. NUMBER AND TITLE OF DIRECTIVE: **ORO O 220, Chapter III, Change 4, ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS**

2. PURPOSE OF TRANSMITTAL: New Directive Revised Directive

3. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS: (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle

Other contractors (list by type) All contractors doing work for DOE.

Many ORO contractors have approved Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. SIGNIFICANT PROVISIONS: Are there any significant changes or impacts? No Yes
If yes, describe: This ORO Chapter is part of the ORO sunset review process. No changes were made except to update sunset review date.

5. CONTACT POINT: Jeanette Miller Financial Evaluation and Accountability Div., FM-73 576-2654
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. FILING INSTRUCTIONS:

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO Control Form	04/02/2002	ORO Control Form	04/07/2004
ORO O 220, Ch. III, Chg. 3	04/02/2002	ORO O 220, Ch. III, Chg. 4	04/07/2004

ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:

Original Signed By
Wayne H. Albaugh 04/07/2004
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 11/06/2003

NNSA/YSO CONTROL FORM – FINAL DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DIRECTIVE NUMBER, TITLE, AND DATE:

**ORO O 220, CHAPTER III, CHANGE 4, ESTABLISHMENT OF MANAGEMENT DECISIONS
ON OFFICE OF INSPECTOR GENERAL REPORTS, dated 04/07/2004**

PURPOSE OF TRANSMITTAL: _____ New Directive X Revised Directive

DOES THIS DIRECTIVE CANCEL/REPLACE OR EXTEND ANY OTHER DIRECTIVES? X Yes _____ No
If "Yes," list what action (cancel/replace or extend) and list the Directive(s), including the number(s), title(s), and date(s):

This Directive cancels and replaces ORO O 220, Chapter III, Change 3, ESTABLISHMENT OF
MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS, dated 04/02/2002.

The attached Directive is forwarded for review and action. Complete Part B and forward this form to ORO DMG, AD-440, by 04/22/2004.

PART B (To be completed by the NNSA Y-12 SITE OFFICE, Y12-01):

CONTRACTOR APPLICABILITY:

Does this Directive affect the work performed by BWXT Y-12, L.L.C.? X Yes _____ No

Does this Directive affect the work performed by BWXT Y-12, L.L.C., subcontractors? _____ Yes X No

If "Yes," list the subcontractors:

Many contractors have approved Standards/Requirements Identification Documents (S/RID) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this Directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

SIGNIFICANT PROVISIONS: Are there any significant changes or impacts? _____ Yes X No

List summary of Directive changes and, **if "Yes"** above, describe the significant changes or impacts:

IMPLEMENTATION: Does the Directive contain special implementation requirements and/or dates? _____ Yes X No

If "Yes," describe:

FOR DOE DIRECTIVE – SUPPLEMENTAL DIRECTIVE REQUIRED?

Is a new or revised supplemental Directive required? _____ Yes X No

If "Yes," target date for submission of YSO Directive is _____.

IDENTIFY CONTACT POINT: Sam Gaines 576-0401
Name Telephone

APPROVED BY COR FOR DIRECTIVES: Diane McCarten 05/11/2004 576-9330
Signature Date Telephone

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DOE Directives are available on the DOE Directives Portal at <http://www.directives.doe.gov/>. ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

 Wayne H. Albaugh, AD-440 05/13/2004
Name Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.

(Revised 11/06/2003)

U.S. Department of Energy

Oak Ridge Operations

ORO O 220
Chapter III
Change 4

DATE: 04/07/2004

SUBJECT: ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS

1. PURPOSE. This Chapter correlates to DOE O 221.3, ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS, dated December 17, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO) and its contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) Directive.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter III, Change 3, ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS, dated April 2, 2002.
3. APPLICABILITY. The provisions of this Chapter apply to ORO Principal Staff and to contractors to the extent set forth in a contract.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division (FEAD).
 - (1) Performs those tasks identified in DOE O 221.3, subparagraph 5d.
 - (2) Serves as the ORO point of contact for all Inspector General (IG) matters.
 - (3) Submits proposed management decision(s) to ORO's Chief Financial Officer (CFO) for review and submission to DOE Headquarters (HQ) CFO.
 - (4) Assists HQ CFO and ORO in the review of proposed management decisions by assessing:
 - (a) Objectivity and responsiveness to audit findings and recommendations, and
 - (b) The adequacy of the corrective actions indicated.
 - b. ORO Chief Financial Officer.
 - (1) Reviews ORO's proposed management decisions to assess:
 - (a) Objectivity and responsiveness to audit findings and recommendations, and

- (b) The adequacy of the corrective actions indicated.
 - (2) Submits ORO's final management decision to the HQ CFO.
- c. Principal Staff.
 - (1) Participates in entrance and exit conferences as needed.
 - (2) Prepares and submits draft management decisions to FEAD for finalization and coordination.
 - (3) Provides support to FEAD in performing the tasks identified in Paragraph 4a of this Chapter.
- 5. REQUIREMENTS AND PROCEDURES. None.
- 6. REFERENCES. None.
- 7. DEFINITIONS. None.
- 8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.
- 9. ATTACHMENTS.
Attachment 1 - Contractor Requirements Document.

CONTRACTOR REQUIREMENTS DOCUMENT

Contractors that are identified in Paragraph 3 of this Chapter will accomplish the following, to the extent set forth in their contract:

1. Cooperate with ORO in its development of management decisions on Inspector General audits, and
2. Safeguard the contents of draft reports from publication outside of DOE or other improper disclosure.