

NNSA/YSO CONTROL FORM – FINAL DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DIRECTIVE NUMBER, TITLE, AND DATE:

ORO O 220, CHAPTER IX, CHANGE 3, AUDITING OF PROGRAMS AND OPERATIONS, dated 09/19/2003

PURPOSE OF TRANSMITTAL: New Directive Revised Directive

DOES THIS DIRECTIVE CANCEL/REPLACE OR EXTEND ANY OTHER DIRECTIVES? Yes No
If "Yes," list what action (cancel/replace or extend) and list the Directive(s), including the number(s), title(s), and date(s):

This Directive cancels ORO O 220, Chapter IX, Change 2, AUDITING OF PROGRAMS AND OPERATIONS, dated 09/28/2001.

The attached Directive is forwarded for review and action. Complete Part B and forward this form to ORO DMG, AD-440, by **10/07/2003**.

PART B (To be completed by the NNSA Y-12 SITE OFFICE, Y12-01):

CONTRACTOR APPLICABILITY:

Does this Directive affect the work performed by BWXT Y-12, L.L.C.? Yes No

Does this Directive affect the work performed by BWXT Y-12, L.L.C., subcontractors? Yes No
If "Yes," list the subcontractors:

Many contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this Directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

SIGNIFICANT PROVISIONS: Are there any significant changes or impact? Yes No
List summary of Directive changes and, **if "Yes"** above, describe the significant changes or impact:

IMPLEMENTATION: Does the Directive contain special implementation requirements and/or dates? Yes No
If "Yes," describe:

FOR DOE DIRECTIVE – SUPPLEMENTAL DIRECTIVE REQUIRED?

Is a new or revised supplemental Directive required? Yes No
If "Yes," target date for submission of YSO Directive is _____.

IDENTIFY CONTACT POINT: Samuel L. Gaines 576-0401
Name Telephone

APPROVED BY PERFORMANCE ASSURANCE MANAGER: Diane McCarten 10/08/2003 576-9330
Signature Date Telephone

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

*DOE Directives are available on the DOE Directives, Regulations, Policies, and Standards Portal at <http://www.directives.doe.gov/>.
ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe_oro_dmg/oro_dir.htm.
Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.*

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Wayne H. Albaugh, AD-440 10/14/2003
Original Signed By Name Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.

(Revised 01/10/2003)

U.S. Department of Energy

Oak Ridge Operations

ORO O 220
Chapter IX
Change 3

DATE: 09/19/2003

SUBJECT: AUDITING OF PROGRAMS AND OPERATIONS

1. PURPOSE. This Chapter correlates to DOE O 224.2, AUDITING OF PROGRAMS AND OPERATIONS, dated March 22, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO) and the National Nuclear Security Administration Y-12 Site Office (YSO), and their contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) Directive.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter IX, Change 2, AUDITING OF PROGRAMS AND OPERATIONS, dated September 28, 2001.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and YSO Principal Staff.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division.
 - (1) Maintains a Management Review Program and evaluates programs and projects under ORO and YSO cognizance to determine their status, improve operations, and determine the adequacy of the management of DOE resources.
 - (2) Provides for effective coordination among ORO and YSO Management Review Programs, the operating contractors= internal audit staffs, and the Inspector General (IG).
 - (3) Provides support to the procurement process, as necessary, including conducting preaward audits and/or making arrangements for both preaward and postaward audits with the IG or other cognizant Federal audit agencies.
 - (4) Evaluates the adequacy of coverage, technical competence, objectivity, and independence of audits conducted by internal auditors of DOE operating contractors.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.