

ORO CONTROL FORM - FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** ORO O 220, Revision 4, ASSESSMENTS

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle ISOTEK (Bldg. 3019, ORNL)

Other contractors (list by type)

SEE INDIVIDUAL CHAPTERS

Many ORO contractors have approved Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impacts? No Yes
If yes, describe: This revision transmits the following changes (1) addition of new Chapter XI; and (2) update to Chapters I, II, III, IV, VII, and IX.

5. **CONTACT POINT:** SEE INDIVIDUAL CHAPTERS

Name	Organization	Telephone
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PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO Control Form	03/13/2003	ORO Control Form	09/20/2004
ORO O 220, Revision 3	03/13/2003	ORO O 220, Revision 4	09/20/2004

ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original Signed By

Wayne H. Albaugh

Signature: DMG Team Leader, AD-440

09/20/2004

Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 06/14/2004

U.S. Department of Energy

Oak Ridge Operations

ORDER

ORO O 220
Revision 4

DATE: 09/20/2004

SUBJECT: ASSESSMENTS

1. PURPOSE. This Directive provides detailed requirements for the Oak Ridge Operations (ORO) activities under Series 220, ASSESSMENTS, by assigning responsibility and accountability and providing administrative and/or contractual guidance to ORO and its contractors.
2. CANCELLATION. This revision cancels and replaces ORO O 220, Revision 3, ASSESSMENTS, dated March 13, 2003.
3. SIGNIFICANT CHANGES. This Directive incorporates as Chapters in the 220 Series the current ORO Directives in Paragraph 4 below.
4. SUMMARY.
 - a. This revision to ORO O 220 transmits the following new Chapter.
 - (1) ORO O 220, Chapter XI, OAK RIDGE OPERATIONS ASSESSMENT PROGRAM, dated June 1, 2004.
 - b. This revision to ORO O 220 transmits the following revised Chapters.
 - (1) Chapter I, Change 3, REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL, dated June 25, 2003.
 - (2) Chapter II, Change 3, COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL, dated October 23, 2003.
 - (3) Chapter III, Change 4, ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS, dated April 7, 2004.
 - (4) Chapter IV, Change 3, COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES, dated June 25, 2003.
 - (5) Chapter VII, Change 3, AUDIT RESOLUTION AND FOLLOWUP, dated June 25, 2003.
 - (6) Chapter IX, Change 3, AUDITING OF PROGRAMS AND OPERATIONS, dated September 19, 2003.

c. The following Chapter, previously issued, remains in effect.

(1) Chapter X, Change 1, ACCIDENT INVESTIGATIONS, dated November 4, 2002.

For information on applicability and assignment of responsibility, refer to these individual chapters.

4. CONTRACTOR REQUIREMENTS DOCUMENT. For contractor requirements, refer to the attachments to the Chapters listed in Paragraph 4 above.

Original Signed By

Wayne H. Albaugh, Team Leader
Directives Management Group

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CHAPTER I - REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL (correlates to DOE O 221.1)

CHAPTER II - COOPERATION WITH THE OFFICE OF THE INSPECTOR GENERAL
(correlates to DOE O 221.2)

CHAPTER III - ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS (correlates to DOE O 221.3)

CHAPTER IV - COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES
correlates to DOE 2340.1C)

CHAPTER V - RESERVED

CHAPTER VI - RESERVED

CHAPTER VII - AUDIT RESOLUTION AND FOLLOWUP (correlates to DOE 2300.1B)

CHAPTER VIII - RESERVED

CHAPTER IX - AUDITING OF PROGRAMS AND OPERATIONS (correlates to DOE O 224.2)

CHAPTER X - ACCIDENT INVESTIGATIONS (correlates to DOE O 225.1A)

CHAPTER XI - OAK RIDGE OPERATIONS ASSESSMENT PROGRAM