

DOE/ORO CONTROL FORM - ORO FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. NUMBER AND TITLE OF DIRECTIVE: **ORO O 470, Chapter IV, Change 3, CONTROL OF WEAPON DATA**

2. PURPOSE OF TRANSMITTAL: New Directive Revised Directive

3. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS: (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. SIGNIFICANT PROVISIONS: Are there any significant changes or impact?

No Yes If yes, describe: This ORO chapter is part of the ORO sunset review process. Paragraphs 1, 2, 3, 4a (heading), 4a(5), 4b (heading), 4b(1), and 4b(2) have been revised to reflect current organizational structure and the separation of control and reporting responsibilities for ORO, OSTI, and YSO.

5. CONTACT POINT: Gabe Marciante Office of Safeguards & Security, OS-20 576-0754
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. FILING INSTRUCTIONS:

| <u>Remove</u> | <u>Dated</u> | <u>Insert</u> | <u>Dated</u> |
|--|--------------|--|--------------|
| ORO Control Form | 01/23/2001 | ORO Control Form | 04/02/2003 |
| ORO O 470, Chapter IV, Chg. 2, Pages IV-1 thru IV-2 | 01/23/2001 | ORO O 470, Chapter IV, Chg. 3, Pages IV-1 thru IV-2 | 04/02/2003 |

ORO Directives are available on the ORO Directives Management Home Page at http://www.ornl.gov/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:

Original Signed By
Wayne H. Albaugh 04/02/2003
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

NNSA/YSO DIRECTIVES CONTROL FORM – FINAL DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DIRECTIVE NUMBER, TITLE, AND DATE:

ORO O 470, Chapter IV, Change 3, CONTROL OF WEAPON DATA, dated 04/02/2003

PURPOSE OF TRANSMITTAL: New Directive Revised Directive

DOES THIS DIRECTIVE CANCEL/REPLACE OR EXTEND ANY OTHER DIRECTIVES? Yes No
If "Yes," list what action (cancel/replace or extend) and list the directive(s), including the number(s), title(s), and date(s):

This chapter cancels and replaces OR O O 470, Chapter IV, Change 2, CONTROL OF WEAPON DATA, dated January 23, 2001.

The attached directive is forwarded for review and action. Complete Part B and forward this form to ORO DMG, AD-440, by 04/18/2003.

PART B (To be completed by the NNSA Y-12 SITE OFFICE, Y12-01):

CONTRACTOR APPLICABILITY:

Does this directive affect the work performed by BWXT Y-12, L.L.C.? Yes No

Does this directive affect the work performed by BWXT Y-12, L.L.C., subcontractors? Yes No

If "Yes," list the subcontractors:

Many contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

SIGNIFICANT PROVISIONS: Are there any significant changes or impact? Yes No

List summary of directive changes and, if "Yes" above, describe the significant changes or impact:

This ORO chapter is part of the ORO sunset review process. Paragraphs 1, 2, 3, 4a (heading), 4a(5), 4b (heading), 4b(1), and 4b(2) have been revised to reflect current organizational structure and the separation of control and reporting responsibilities for ORO, OSTI, and YSO.

IMPLEMENTATION: Does the directive contain special implementation requirements and/or dates? Yes No

If "Yes," describe:

FOR DOE DIRECTIVE – SUPPLEMENTAL DIRECTIVE REQUIRED?

Is a new or revised supplemental directive required? Yes No

If "Yes," target date for submission of draft supplemental directive is _____.

IDENTIFY CONTACT POINT: Jerry L. Howell 241-9457
Name Telephone

APPROVED BY COR FOR DIRECTIVES: Diane McCarten, COR for Dir. 04/28/2003 576-9330
Signature Date Telephone

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

*DOE Directives are available on the DOE Directives, Regulations, Policies, and Standards Portal at <http://www.directives.doe.gov/>.
ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe_oro_dmg/oro_dir.htm.
Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.*

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Wayne H. Albaugh, AD-440 04/30/2003
Name Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.

(Revised 01/10/2003)

U.S. Department of Energy

Oak Ridge Operations

ORO O 470
Chapter IV
Change 3

Date: 04/02/2003

SUBJECT: CONTROL OF WEAPON DATA

1. PURPOSE. This chapter correlates to DOE 5610.2, CONTROL OF WEAPON DATA, dated August 1, 1980, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), Office of Scientific and Technical Information (OSTI), the National Nuclear Security Administration Y-12 Site Office (YSO), and their contractors. Nothing in this issuance changes any requirement contained in any Department of Energy (DOE) directive.
2. CANCELLATION. This chapter cancels and replaces ORO O 470, Chapter IV, Change 2, Control of Weapon Data, dated January 23, 2001.
3. APPLICABILITY. The provisions of this chapter apply to ORO, YSO and OSTI Principal Staff. Although DOE 5610.2 applies to contractors to the extent set forth in their contract, no additional requirements intended for contractors are included in this chapter.
4. RESPONSIBILITIES.
 - a. ORO, YSO, and OSTI Principal Staff.
 - (1) Perform those tasks identified in DOE 5610.2, subparagraphs 6c, 8, and 9.
 - (2) Inform their contractors who handle weapon data information of the requirements of this chapter.
 - (3) Furnish Transfer Accountability and Accountability Stations servicing their organizations with names of employees, titles of positions or organizational units authorized access to weapon data reports by category.
 - (4) Approve and forward to the Director, OSTI, requests for weapon data reports and requests for the establishment of field-of-interest registers.

- (5) Submit for their offices and ensure that their contractors submit within 48 hours to the Cognizant Security Director unaccounted-for documents containing information required by DOE 5610.2, subparagraph 8b(2), and submit a quarterly status report required by subparagraph 8b(3).
- b. Director, Office of Safeguards and Security, ORO; Director, Safeguards and Security Division, YSO; and Director, OSTI Security.
 - (1) Ensure compliance with the provisions of this chapter through periodic surveys and other inspections.
 - (2) Receive and submit to the Assistant Deputy Administrator for Military Application and Stockpile Operations and the Office of Security all reports of unaccounted-for weapon data documents and subsequent quarterly reports required by DOE 5610.2, subparagraph 8b(2) and subparagraph 8b(3).
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.