

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 220, Chapter III, Chg. 1, ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS**
2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change
3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)
- No (all contractors)
- Yes If yes, whom? LMES LMER ORAU SURA
 Bechtel Jacobs Company
- Other contractors (list by type) All contractors doing work for DOE.

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?
- No Yes If yes, describe: This Chapter is part of the ORO sunset review process. Changes include minor editorial revisions to this Chapter to indicate (1) the correct number of the correlating DOE Order (DOE 2320.2B), (2) the current organizational title of the Financial Evaluation and Accountability Division in subparagraphs 4a and 4c, and (3) delete the phrase "tentative findings and official . . ." from paragraph 2 on the Contractor Requirements Document.
5. **CONTACT POINT:** Jeanette Miller Financial Evaluation and Accountability Division, FM-73 576-2654
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**
- | <u>Remove</u> | <u>Dated</u> | <u>Insert</u> | <u>Dated</u> |
|---------------------------|--------------|--------------------------------------------------------------|--------------|
| ORO O 220, Chapter III | 05/31/1996 | ORO O Control Form | 07/28/1998 |
| Pages III-1 through III-3 | | ORO O 220, Chapter III,
Chg. 1, Pages III-1 through III-3 | 07/28/1998 |

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by
Jennifer H. Cusick, AD-440 07/28/1998
Signature Management Analyst, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED
Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 220 Chapter III Chg. 1

DATE: 07/28/1998

SUBJECT: ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS

1. PURPOSE. This Chapter correlates to DOE 2320.2B, ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS, dated May 18, 1992, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO) and its contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter III, ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS, dated May 31, 1996.
3. APPLICABILITY. The provisions of this Chapter apply to ORO Principal Staff and to contractors to the extent set forth in a contract.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division (FEAD).
 - (1) Performs those tasks identified in DOE 2320.2B, subparagraph 7b.
 - (2) Serves as the ORO point of contact for all Inspector General (IG) matters.
 - (3) Submits proposed Departmental position(s) to ORO's Chief Financial Officer (CFO) for review and submission to Headquarters CFO.
 - (4) Assists CFO at Headquarters and ORO on the review of proposed Departmental positions by assessing:
 - (a) objectivity and responsiveness to audit findings and recommendations, and
 - (b) the adequacy of the corrective actions indicated.
 - b. ORO Chief Financial Officer.
 - (1) Reviews ORO's proposed Departmental positions to assess:
 - (a) objectivity and responsiveness to audit findings and recommendations, and
 - (b) the adequacy of the corrective actions indicated.

(2) Submits ORO's final Departmental position to the CFO at Headquarters.

c. Principal Staff.

(1) Participates in entrance and exit conferences as needed.

(2) Prepares and submits draft Departmental positions to FEAD for finalization and coordination.

(3) Provides support to FEAD in performing the tasks identified in subparagraph 4a of this Chapter.

5. REQUIREMENTS AND PROCEDURES. None.

6. REFERENCES. None.

7. DEFINITIONS. None.

8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.

9. ATTACHMENTS.

Attachment 1 - Contractor Requirements Document.

CONTRACTOR REQUIREMENTS DOCUMENT

Contractors that are identified in paragraph 3 of this Chapter shall accomplish the following:

1. Cooperate with ORO in its development of Departmental positions on IG audits, and
2. Safeguard the contents of draft reports from publication outside of DOE or other improper disclosure.