

DIRECTIVES CONTROL FORM - ORO FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 220, Chapter III, Change 3, ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. BWXT Y-12 ORAU UT-Battelle SURA

Other contractors (list by type) All contractors doing work for DOE.

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This chapter is part of the ORO sunset review process. Minor editorial changes were made to enhance clarity.

5. **CONTACT POINT:** Jeanette Miller Financial Evaluation and Accountability Div., FM-73 576-2654
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO Control Form	05/11/2001	ORO Control Form	04/02/2002
ORO O 220, Chapter III, Chg. 2, Pages III-1 through III-3	05/11/2001	ORO O 220, Chapter III, Chg. 3, Pages III-1 through III-3	04/02/2002

ORO Directives are available on the ORO Directives Management Home Page at http://www.ornl.gov/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original Signed By
Wayne H. Albaugh 04/03/2002
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 01/14/2002

U.S. Department of Energy

Oak Ridge Operations

ORO O 220 Chapter III Change 3

DATE: 04/02/2002

SUBJECT: ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS

1. PURPOSE. This chapter correlates to DOE O 221.3, ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS, dated December 17, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO) and its contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) directive.
2. CANCELLATION. This chapter cancels and replaces ORO O 220, Chapter III, Change 2, ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS, dated May 11, 2001.
3. APPLICABILITY. The provisions of this chapter apply to ORO Principal Staff and to contractors to the extent set forth in a contract.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division (FEAD).
 - (1) Performs those tasks identified in DOE O 221.3, subparagraph 5d.
 - (2) Serves as the ORO point of contact for all Inspector General (IG) matters.
 - (3) Submits proposed management decision(s) to ORO's Chief Financial Officer (CFO) for review and submission to DOE Headquarters (HQ) CFO.
 - (4) Assists HQ CFO and ORO in the review of proposed management decisions by assessing:
 - (a) objectivity and responsiveness to audit findings and recommendations, and
 - (b) the adequacy of the corrective actions indicated.
 - b. ORO Chief Financial Officer.
 - (1) Reviews ORO's proposed management decisions to assess:
 - (a) objectivity and responsiveness to audit findings and recommendations, and

(b) the adequacy of the corrective actions indicated.

(2) Submits ORO's final management decision to the HQ CFO.

c. Principal Staff.

(1) Participates in entrance and exit conferences as needed.

(2) Prepares and submits draft management decisions to FEAD for finalization and coordination.

(3) Provides support to FEAD in performing the tasks identified in subparagraph 4a of this chapter.

5. REQUIREMENTS AND PROCEDURES. None.

6. REFERENCES. None.

7. DEFINITIONS. None.

8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this chapter.

9. ATTACHMENTS.

Attachment 1 - Contractor Requirements Document.

CONTRACTOR REQUIREMENTS DOCUMENT

Contractors that are identified in paragraph 3 of this chapter will accomplish the following, to the extent set forth in their contract:

1. Cooperate with ORO in its development of management decisions on Inspector General audits, and
2. Safeguard the contents of draft reports from publication outside of DOE or other improper disclosure.