

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** ORO O 420, Chapter V, Chg. 3, DELEGATION OF APPROVAL AUTHORITY FOR DOE 5480.21, 5480.22, 5480.23, AND 5481.1B



2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors) Although the referenced DOE Orders may apply to contractors to the extent set forth in their contract, no additional contractor requirements are imposed in this Chapter.

Yes If yes, whom? LMES LMER ORAU SURA
 Bechtel Jacobs Company

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: Following the March 1999 sunset review of this ORO Chapter, the DPI identified changes that were necessary to update the Chart on Page V-3. Revisions have been made to "Nuclear 3" under NE, "Radiological (<Cat 3 Nuclear)" under EM, and "Other Industrial" under EM.

5. **CONTACT POINT:** Lon Brock Nuclear Safety Division, SE-33 576-2113
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 420, Chapter V, Chg. 2, Pages V-1 through V-3	03/11/1999	ORO O Control Form ORO O 420, Chapter V, Chg. 3, Pages V-1 through V-3	07/28/1999 07/28/1999

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by

Jennifer H. Cusick, AD-440
Signature Management Analyst, AD-440

07/28/1999
Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED
Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 420 Chapter V Chg. 3

DATE: 07/28/1999

**SUBJECT: DELEGATION OF APPROVAL AUTHORITY FOR DOE 5480.21, 5480.22, 5480.23,
AND 5481.1B**

1. PURPOSE. This Chapter establishes overall guidance and administrative controls and assigns responsibility to Oak Ridge Operations (ORO) and its contractors. Nothing in this issuance changes any requirements in any DOE Order.

The purpose of this Chapter is to document the delegation of approval authority by Headquarters to the Manager and further delegations by the Manager to subordinate levels within ORO. This Chapter complements ORO O 420, Chapters I, VI, VII, and VIII, and any subsequent revisions.

2. CANCELLATION. This Chapter cancels and replaces ORO O 420, Chapter V, Chg. 2, DELEGATION OF APPROVAL AUTHORITY FOR DOE 5480.21, 5480.22, 5480.23, AND 5481.1B, dated March 11, 1999.
3. APPLICABILITY. This Chapter applies to ORO Principal Staff.
4. RESPONSIBILITIES. Many ORO contractors have developed Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) sets that may not include requirements referenced or included in DOE 5480.23, 5480.22 and 5480.21; DOE O 420.1 (includes canceled DOE 5481.1B); or this Chapter. Interpretation and performance of Federal responsibilities outlined below must take into account the approved standards set for each particular contract and must not be deemed to add any requirements to the approved set.
 - a. Manager.
 - (1) As delegated by the cognizant Secretarial Officer (CSO), approves safety documentation where delegation to subordinate levels of ORO is not authorized.
 - (2) Initiates/approves requests to the CSO for delegation of approval authority or approval of delegation to subordinate levels of ORO.
 - b. Assistant Managers.
 - (1) Approve safety documentation where authorized and/or approved by the CSO and formally documented by the Manager (see Attachment 2 of this Chapter).
 - (2) Review general areas of responsibility on a continuing basis to identify the need for future requests to the CSO for approval of additional delegation of approval authority. This need may result from lack of prior authorization by the CSO for new facilities or modification of activities within existing facilities.

- c. Director, Nuclear Safety Division (NSD). Serves as the focal point within ORO for monitoring and evaluating subsequent direction from the CSO concerning additional delegation of approval authority.
 - d. Contracting Officer's Representatives.
 - (1) Approve safety documentation where delegation to that ORO level is authorized and/or approved by the CSO and formally documented by the Manager.
 - (2) Review general areas of responsibility on a continuing basis to identify the need for future requests to the CSO for approval of additional delegation of approval authority. This need may result from lack of prior authorization by the CSO for new facilities or modification of activities within existing facilities.
 - (3) Inform the Directives Management Group and the NSD of any future memorandums that add to or alter the delegations of approval authority contained herein.
 - e. Leader, Directives Management Group, maintains copies of all the authorization memorandums from Headquarters and internal memorandums covering delegations within ORO.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS.

Attachment 1 - Summary of Delegation of Approval Authority at ORO.

**SUMMARY OF DELEGATION OF APPROVAL AUTHORITY FOR SAFETY
DOCUMENTATION AT ORO AS OF JULY 21, 1999**

FACILITY HAZARD CATEGORY	DP	EM	ER	NE
Nuclear	-----	-----	-----	-----
1	Not delegated	Not delegated	Not delegated	Delegated to Office of Civilian Reactor Development
2	Delegated 9 @ Y-12 to ORO/AMDP; delegated 3019 @ ORNL to ORO/AMLO	Delegated to ORO Manager; ORO Manager delegated to AMEM	Delegated to ORO Manager	NE Program Office to delegate on case-by-case basis; Uranium Programs delegated to ORO Manager
3	Delegated to ORO/AMDP	Delegated to ORO Manager; ORO Manager delegated to AMEM	Delegated to ORO Manager; ORO Manager delegated to ORNL SO Manager	Delegated to ORO Manager; ORO Manager delegated to ORNL SO Manager
Radiological (<Cat 3 Nuclear)	Not addressed	Delegated to ORO Manager; ORO Manager delegated to AMEM; AMEM delegated to Contractor Mgt.	Not addressed	Not addressed
Nonnuclear	-----	-----	-----	-----
High	Not addressed	Not delegated	Not delegated	Not addressed
Moderate	Not addressed	Delegated to ORO Manager; ORO Manager delegated to AMEM	Delegated to ORO Manager	Not addressed
Low	Not addressed	Delegated to ORO Manager; ORO Manager delegated to AMEM	Delegated to ORO Manager; ORO Manager delegated to ORNL SO Manager	Not addressed
Other Industrial	Not addressed	Delegated to ORO Manager; ORO Manager delegated to AMEM; AMEM delegated to Contractor Mgt.	Not addressed	Not addressed

