

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter XII, Chg. 1, COUNTERINTELLIGENCE (CI) PROGRAM**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? LMES LMER ORAU SURA

Bechtel Jacobs Company

Other contractors (list by type) To the extent implemented under a contract or order agreement, contractors who are sellers of supplies or services involving access to and protection of unclassified sensitive information, proprietary information, classified information, nuclear materials, or other safeguards and security interests under a procurement contract or subcontract.

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes include minor editorial revisions to indicate the correct number of the correlating DOE Order (DOE 5670.3).

5. **CONTACT POINT:** Carolyn Fuller Safeguards and Security Division, DP-82 576-0919
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 470, Chapter XII	05/15/1996	ORO O Control Form	07/22/1998
Pages XII-1 through XII-3		ORO O 470, Chapter XII, Chg. 1, Pages XII-1 through XII-3	07/22/1998

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by
Jennifer H. Cusick, AD-440 07/22/1998
Signature Management Analyst, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED
Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 470
Chapter XII
Chg. 1

Date: 07/22/1998

SUBJECT: COUNTERINTELLIGENCE (CI) PROGRAM

1. PURPOSE. This Chapter correlates to DOE 5670.3, COUNTERINTELLIGENCE PROGRAM, dated September 4, 1992, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), Office of Scientific and Technical Information (OSTI), and their contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter XII, COUNTERINTELLIGENCE (CI) PROGRAM, dated May 15, 1996.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and OSTI Principal Staff and contractors as provided by law and/or contract and as implemented by the appropriate contracting officer.
4. RESPONSIBILITIES.
 - a. Director, Safeguards and Security Division, performs those tasks identified in DOE 5670.3, subparagraphs 8f(1) and (3)-(8).
 - b. Principal Staff.
 - (1) Under whose supervision procurement requests are initiated, perform that task identified in DOE 5670.3, subparagraph 8i.
 - (2) Perform that task identified in DOE 5670.3, subparagraph 8f(5).
 - c. ORO CI Program Manager (ORO CIPM) performs those tasks identified in DOE 5670.3, subparagraphs 8f(2) and 8g.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.

9. ATTACHMENTS.

Attachment 1 - Contractor Requirements Document.

CONTRACTOR REQUIREMENTS DOCUMENT

Contractors that are identified in paragraph 3 of this Chapter shall accomplish the following:

1. Designate a Contractor Counterintelligence Officer (CCIO) to assist the ORO CIPM in the implementation of a site-specific CI program in accordance with DOE 5670.3, subparagraph 7d, and the provisions of this Chapter.
2. Develop and implement a CI awareness program to meet CI program objectives in conjunction with the security education and awareness program.
3. Establish and administer a program for foreign travel and contacts debriefings.
4. Advise the ORO CIPM of any site-specific CI educational requirements.
5. Immediately notify the ORO CIPM of all incidents involving suspected or identified foreign intelligence activities or identified technical penetrations affecting persons or facilities under their jurisdiction.
6. Establish a system to ensure prompt transmittal of current CI threat information to the CCIO and the ORO CIPM.