

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter VI, Chg. 1, PERSONNEL SECURITY ACTIVITIES**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING**

CONTRACTORS: (Check appropriate boxes)

No (all contractors) Although the CRD attached to the correlating DOE Order is applicable to contractors to the extent as provided by law and/or contract and as implemented by the appropriate contracting officer, no additional requirements intended for contractors are imposed in this Chapter.

Yes If yes, whom? LMES LMER ORAU SURA

Bechtel Jacobs Company

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes to this Chapter include editorial revisions to (1) indicate the correct correlating DOE Order (DOE 472.1B), (2) add reference to DOE M 472.1-1, and (3) reflect the current organizational title, structure, and divisional affiliation of the ORO Internal Security Team. Because the correlating DOE Order contains a CRD, the CRD previously attached to this Chapter has been deleted. The previous subparagraph 4b(2) has also been deleted.

5. **CONTACT POINT:** Nettie Hudson Internal Security Team, AD-432 576-1918
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 470, Chapter VI	09/30/1996	ORO O Control Form	06/17/1999
Pages VI-1 through VI-3		ORO O 470, Chapter VI, Chg. 1, Pages VI-1 and VI-2	06/17/1999

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by

Jennifer H. Cusick, AD-440

06/17/1999

Signature Management Analyst, AD-440

Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 470 Chapter VI Change 1

DATE: 06/17/1999

SUBJECT: PERSONNEL SECURITY ACTIVITIES

1. PURPOSE. This Chapter correlates to DOE O 472.1B, PERSONNEL SECURITY ACTIVITIES, dated March 24, 1997, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), Office of Scientific and Technical Information (OSTI) and their contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter VI, PERSONNEL SECURITY ACTIVITIES, dated September 30, 1996.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and OSTI Principal Staff. Although the Contractor Requirements Document attached to DOE O 472.1B is applicable to contractors to the extent as provided by law and/or contract and as implemented by the appropriate contracting officer, no additional requirements intended for contractors are imposed in this Chapter.
4. RESPONSIBILITIES.
 - a. Manager authorizes suspension of access authorizations in accordance with 10 Code of Federal Regulations (CFR) 710.10.
 - b. Director, Safeguards and Security Division (SSD), through the Team Leader, Internal Security Team, performs those tasks identified in DOE O 472.1B, subparagraphs 5(i), (j), (k) and (l) in accordance with the criteria prescribed in 10 CFR 710.
 - c. Principal Staff and subordinate supervisors report that derogatory information described in DOE O 472.1B, subparagraph 5j(5) and 10 CFR 710.8, to the Team Leader, Internal Security Team.
 - d. Contracting Officer's Representatives.
 - (1) Inform contractors of the provisions of DOE O 472.1B, DOE M 472.1-1, and this Chapter, and ensure their compliance with prescribed standards and procedures.
 - (2) Review and concur on the contractor Personnel Security Assurance Program (PSAP) implementation plans and forward to the SSD for appropriate action.
 - (3) Review and concur in contractor recommendations for inclusion of positions in PSAP. Forward to the SSD those recommendations that match the criteria prescribed in 10 CFR 710.50(a).

- (4) Notify the SSD when any contractor employee filling a PSAP position has received treatment or been hospitalized for an emotional or mental disorder or for alcohol or drug abuse.
5. REQUIREMENTS AND PROCEDURES. Procedures and criteria are outlined in DOE O 472.1B, DOE M 472.1-1, and 10 CFR 710.
6. REFERENCES. DOE M 472.1-1, PERSONNEL SECURITY PROGRAM MANUAL, dated May 22, 1998.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.