

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter VI, Chg. 2, PERSONNEL SECURITY ACTIVITIES**
2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change
3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)
- No (all contractors)
- Yes If yes, whom? LMES UT-B ORAU SURA
- Bechtel Jacobs Company
- Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?
 No Yes If yes, describe: To reflect current policies and procedures, subparagraph 4c has been revised and the previous subparagraphs 4d (2) and (3) have been deleted.
5. **CONTACT POINT:** Ronald E. Adams Personnel Security Branch, OS-202 241-8160
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**
- | <u>Remove</u> | <u>Dated</u> | <u>Insert</u> | <u>Dated</u> |
|---|--------------|---|--------------------------|
| ORO O 470, Chapter VI,
Chg. 1, Pages VI-1 and VI-2 | 06/17/1999 | ORO O Control Form
ORO O 470, Chapter VI,
Chg. 2, Pages VI-1 and VI-2 | 10/04/2000
10/04/2000 |

ORO Orders are available on the ORO Directives Management Home Page at http://www.ornl.gov/doe_oro_dmg/orchklst.htm. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by
Kenneth W. Warden, AD-440 10/04/2000
Signature Management Analyst, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 04/01/2000

U.S. Department of Energy

Oak Ridge Operations

ORO O 470 Chapter VI Change 2

DATE: 10/04/2000

SUBJECT: PERSONNEL SECURITY ACTIVITIES

1. PURPOSE. This Chapter correlates to DOE O 472.1B, PERSONNEL SECURITY ACTIVITIES, dated March 24, 1997, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), Office of Scientific and Technical Information (OSTI) and their contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter VI, Chg. 1, PERSONNEL SECURITY ACTIVITIES, dated June 17, 1999.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and OSTI principal staff. Although the Contractor Requirements Document attached to DOE O 472.1B is applicable to contractors to the extent as provided by law and/or contract and as implemented by the appropriate contracting officer, no additional requirements intended for contractors are imposed in this Chapter.
4. RESPONSIBILITIES.
 - a. Manager authorizes suspension of access authorizations in accordance with 10 Code of Federal Regulations (CFR) 710.10.
 - b. Director, Office of Safeguards & Security (OS) through the Branch Chief, Personnel Security Branch, performs those tasks identified in DOE O 472.1B, subparagraphs 5(i), (j), (k) and (l) in accordance with the criteria prescribed in 10 CFR 710.
 - c. Principal Staff and subordinate supervisors report to the Branch Chief, Personnel Security Branch, any alleged instances of conduct by cleared employees which may constitute "derogatory information," as that term is described in DOE O 472.1B, subparagraph 5j(5) and 10 CFR 710.8.
 - d. Contracting Officer's Representatives.
 - (1) Inform contractors of the provisions of DOE O 472.1B, DOE M 472.1-1, and this Chapter, and ensure their compliance with prescribed standards and procedures.
 - (2) Notify the OS when any contractor employee filling a Personnel Security Assurance Program (PSAP) position has received treatment or been hospitalized for an emotional or mental disorder or for alcohol or drug abuse.

5. REQUIREMENTS AND PROCEDURES. Procedures and criteria are outlined in DOE O 472.1B, DOE M 472.1-1, and 10 CFR 710.
6. REFERENCES. DOE M 472.1-1, PERSONNEL SECURITY PROGRAM MANUAL, dated May 22, 1998.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.