

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** ORO O 470, Rev. 1, SAFEGUARDS AND SECURITY
2. **PURPOSE OF TRANSMITTAL:** ___ New Directive x Revised Directive ___ Page Change
3. **CONTRACTOR REQUIREMENTS:** Does directive contain requirements applicable to contractor(s)?
Check appropriate boxes:

___ No (all contractors)

___ Yes If yes, whom? ___ LMES ___ ORAU ___ SURA ___ LMER

 ___ Other contractors (list by type)

SEE INDIVIDUAL CHAPTERS

4. SUMMARY OF SIGNIFICANT PROVISIONS OR CHANGES:

This revised Order incorporates Chapter I (formerly ORIGs 5630.11A, 5630.13A, 5630.14, 5630.15, 5630.16, 5630.17, 5631.1B, 5631.4A, OR 5631.5, and ORIGs 5634.1A and 5639.X10 respectively), Chapter V (formerly ORIG 5650.2C and ORIG N 5635.X1 respectively), Chapter VI (formerly ORIGs 5631.2C and 5631.6A respectively), and Chapter XI (formerly OR 5670.2).

5. CONTACT POINT: (SEE INDIVIDUAL CHAPTERS)

Name	Organization	Telephone
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PART B (To be completed by the Directives Management Group (DMG)):**6. FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O Control Form Pages 1, 2, i, ii, and iii of ORO O 470	5-15-96	ORO O Control Form ORO O 470, Rev. 1 Pages 1, 2, 3, i, ii, iii, and iv Chapters I, V, VI, and XI	9-30-96 9-30-96 9-30-96
ORIG 5630.11A	8-30-93		
ORIG 5630.13A	12-23-92		
ORIG 5630.14	7-29-93		
ORIG 5630.15	6-2-93		
ORIG 5630.16	6-16-94		
ORIG 5630.17	8-20-93		
ORIG 5631.1B	6-1-93		
ORIG 5631.4A	7-1-93		
OR 5631.5	1-25-90		
ORIG 5634.1A	5-15-95		
ORIG 5639.X10	4-13-93		
ORIG 5650.2C	4-14-93		
ORIG 5631.2C	7-26-95		
ORIG 5631.6A	2-26-93		
ORIG N 5635.X1	1-4-94		
OR 5670.2	5-9-90		

7. APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:

Original Signed By Jennifer H. Cusick

09/30/1996

Signature Management Analyst, AD-440

Date

U.S. Department of Energy

Oak Ridge Operations

ORDER

ORO O 470
Rev. 1

DATE: 9-30-96

SUBJECT: SAFEGUARDS AND SECURITY

1. PURPOSE. This Order provides detailed requirements for the Oak Ridge Operations (ORO) activities under Series 470, Safeguards and Security, by assigning responsibility and accountability and providing administrative and/or contractual guidance to ORO and its contractors.
2. SIGNIFICANT CHANGES. This Order incorporates as Chapters in the 470 Series the current Oak Ridge Implementation Guidance (ORIG) directives and OR Orders identified in paragraph 3 below.
3. SUMMARY.
 - a. This revision to ORO Order 470 transmits the following new chapters.
 - (1) Chapter I, SAFEGUARDS AND SECURITY PROGRAM, which cancels and replaces:
 - (a) ORIG 5630.11A, SAFEGUARDS AND SECURITY PROGRAM, dated August 30, 1993.
 - (b) ORIG 5630.13A, MASTER SAFEGUARDS AND SECURITY AGREEMENTS, dated December 23, 1992.
 - (c) ORIG 5630.14, SAFEGUARDS AND SECURITY PROGRAM PLANNING, dated July 29, 1993.
 - (d) ORIG 5630.15, SAFEGUARDS AND SECURITY TRAINING PROGRAM, dated June 2, 1993.
 - (e) ORIG 5630.16, SAFEGUARDS AND SECURITY ACCEPTANCE AND VALIDATION TESTING PROGRAM, dated June 16, 1994.
 - (f) ORIG 5630.17, SAFEGUARDS AND SECURITY (S&S) STANDARDIZATION PROGRAM, dated August 20, 1993.
 - (g) ORIG 5631.1B, SECURITY EDUCATION BRIEFING AND AWARENESS PROGRAM, dated June 1, 1993.
 - (h) ORIG 5631.4A, CONTROL OF CLASSIFIED VISITS, dated July 1, 1993.
 - (i) OR 5631.5, VIOLATION OF LAW, LOSSES, AND INCIDENTS OF SECURITY CONCERN, dated January 25, 1990.
 - (j) ORIG 5634.1A, FACILITY APPROVALS, SECURITY SURVEYS, AND NUCLEAR MATERIALS, dated May 15, 1995.

- (k) ORIG 5639.X10, INTERIM DOE OAK RIDGE OPERATIONS OFFICE (ORO) FOREIGN OWNERSHIP, CONTROL, OR INFLUENCE (FOCI) PROCEDURES, dated April 13, 1993.
 - (2) Chapter V, IDENTIFICATION OF CLASSIFIED INFORMATION, which cancels and replaces:
 - (a) ORIG 5650.2C, same title, dated April 14, 1993, and
 - (b) ORIG N 5635.X1, CONSOLIDATED CLASSIFICATION REVIEW PROGRAM (CCRP) DOCUMENTS, dated January 4, 1994.
 - (3) Chapter VI, PERSONNEL SECURITY ACTIVITIES, which cancels and replaces:
 - (a) ORIG 5631.2C, PERSONNEL SECURITY PROGRAM, dated July 26, 1995.
 - (b) ORIG 5631.6A, PERSONNEL SECURITY ASSURANCE PROGRAM, dated February 26, 1993.
 - (4) Chapter XI, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, which cancels and replaces OR 5670.2, same title, dated May 9, 1990.
- b. The following chapters, previously issued, remain in effect.
- (1) Chapter IV, CONTROL OF WEAPON DATA, which canceled and replaced OR 5610.2, same title, dated February 25, 1981.
 - (2) Chapter VII, PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, which canceled and replaced ORIG 5632.1C, same title, dated March 30, 1995.
 - (3) Chapter VIII, PROTECTIVE FORCE PROGRAM, which canceled and replaced ORIG 5632.7A, same title, dated July 26, 1995.
 - (4) Chapter IX, CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS, which canceled and replaced ORIG 5633.3C, same title, dated August 4, 1995.
 - (5) Chapter X, MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE, which canceled and replaced ORIG 5670.1A, same title, dated July 21, 1992.
 - (6) Chapter XII, COUNTERINTELLIGENCE (CI) PROGRAM, which canceled and replaced ORIG 5670.3, same title, dated December 22, 1994.

c. The following chapters are not yet published.

- (1) Chapter II, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION.
- (2) Chapter III, INFORMATION SECURITY PROGRAM.

For information on applicability and assignment of responsibility, refer to these individual chapters.

4. CONTRACTOR REQUIREMENTS DOCUMENT. For contractor requirements, refer to the attachments to the Chapters listed in paragraph 3 above.

Original Signed By

Daniel H. Wilken
Assistant Manager
for Administration

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