

DOE CONTROL FORM - FINAL GUIDE

PART A (To be completed by the **DIRECTIVES MANAGEMENT GROUP, AD-440**):

TO DIRECTOR, DIVISION OF PRIMARY INTEREST: D. R. Allen, Director, Environmental and Quality Management Division, SE-32

NUMBER, TITLE, AND DATE OF DIRECTIVE: DOE G 414.1-3, SUSPECT/COUNTERFEIT ITEMS GUIDE FOR USE WITH 10 CFR 830 SUBPART A, QUALITY ASSURANCE REQUIREMENTS AND DOE O 414.1B, QUALITY ASSURANCE, dated 11/03/2004

The attached guide, which is within your area of functional responsibility, is forwarded for review. Complete Part B and forward this form to ORO Directives Management Group, AD-440, by **11/30/2004**.

PART B (To be completed by the **DIVISION OF PRIMARY INTEREST (DPI)**):

1. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:
(Check Appropriate Boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle ISOTEK (Bldg. 3019, ORNL)

Other contractors (list by type) All Prime contractors.

Many ORO Contractors have approved Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

2. SUMMARY OF CHANGES AND IMPACT: GUIDANCE ONLY.

This Guide revises and supersedes DOE G 440.1-6, IMPLEMENTATION GUIDE FOR USE WITH SUSPECT/COUNTERFEIT ITEMS REQUIREMENTS OF DOE O 440.1, WORKER PROTECTION MANAGEMENT; 10 CFR 830.12; AND DOE 5700.6C, QUALITY ASSURANCE, dated June 1997.

3. IDENTIFY CONTACT POINT: Mike Smith 576-0973
Name Telephone

Original Signed By
4. APPROVED BY DIRECTOR: D. R. Allen, Dir., SE-32 11/30/2004 576-0411
Signature Date Telephone

5. DOE Directives are available on the DOE Directives Portal at <http://www.directives.doe.gov/>. DOE Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

PART C (To be completed by the **DIRECTIVES MANAGEMENT GROUP (DMG)**):

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Original Signed By
Wayne H. Albaugh, AD-440 12/01/2004
Name Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.

(REVISED 06/14/2004)

NNSA/YSO CONTROL FORM – FINAL DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DIRECTIVE NUMBER, TITLE, AND DATE:

DOE G 414.1-3, SUSPECT/COUNTERFEIT ITEMS GUIDE FOR USE WITH 10 CFR 830 SUBPART A, QUALITY ASSURANCE REQUIREMENTS AND DOE O 414.1B, QUALITY ASSURANCE, dated 11/03/2004

PURPOSE OF TRANSMITTAL: New Directive Revised Directive

DOES THIS DIRECTIVE CANCEL/REPLACE OR EXTEND ANY OTHER DIRECTIVES? Yes No
If "Yes," list what action (cancel/replace or extend) and list the Directive(s), including the number(s), title(s), and date(s):

This Guide revises and supersedes DOE G 440.1-6, IMPLEMENTATION GUIDE FOR USE WITH SUSPECT/COUNTERFEIT ITEMS REQUIREMENTS OF DOE O 440.1, WORKER PROTECTION MANAGEMENT; 10 CFR 830.12; and DOE 5700.6C, QUALITY ASSURANCE, dated June 1997.

The attached Directive is forwarded for review and action. Complete Part B and forward this form to ORO DMG, AD-440, by 11/29/2004.

PART B (To be completed by the NNSA Y-12 SITE OFFICE, Y12-01):

CONTRACTOR APPLICABILITY:

Does this Directive affect the work performed by BWXT Y-12, L.L.C.? Yes No

Does this Directive affect the work performed by BWXT Y-12, L.L.C., subcontractors? Yes No

If "Yes," list the subcontractors:

Many contractors have approved Standards/Requirements Identification Documents (S/RID) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this Directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

SIGNIFICANT PROVISIONS: Are there any significant changes or impacts? Yes No

List summary of Directive changes and, if "Yes" above, describe the significant changes or impacts:

IMPLEMENTATION: Does the Directive contain special implementation requirements and/or dates? Yes No

If "Yes," describe:

FOR DOE DIRECTIVE – SUPPLEMENTAL DIRECTIVE REQUIRED?

Is a new or revised supplemental Directive required? Yes No

If "Yes," target date for submission of YSO Directive is _____.

IDENTIFY CONTACT POINT: C. T. Shen 576-7176
Name Telephone

APPROVED BY COR FOR DIRECTIVES: Diane McCarten 11/23/2004 576-9330
Signature Date Telephone

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DOE Directives are available on the DOE Directives Portal at <http://www.directives.doe.gov/>. ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/roe/roe_oro_dmg/oro_dir.htm. Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

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Wayne H. Albaugh, AD-440 11/29/2004
Name Date

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(Revised 11/06/2003)