

## **DOE/ORO CONTROL FORM - DOE FINAL DIRECTIVE**

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**PART A** (To be completed by the **DIRECTIVES MANAGEMENT GROUP, AD-440**):

**TO: DIRECTOR, DIVISION OF PRIMARY INTEREST:** J. O. Payne, Director, Financial Evaluation and Accountability Division, FM-73

**NUMBER, TITLE, AND DATE OF DIRECTIVE:** **DOE N 221.9, REPORTING FRAUD, WASTE, AND ABUSE, of 08/06/2003**

The attached directive, which is within your area of functional responsibility, is forwarded for review and necessary action. Complete Part B and forward this form to AD-440 by 08/22/2003.

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**PART B** (To be completed by the **DIVISION OF PRIMARY INTEREST (DPI)**):

**1. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:  
(Check Appropriate Boxes)**

No (all contractors)

Yes If yes, whom?     Bechtel Jacobs Co.         ORAU         UT-Battelle

Other contractors (list by type)

*Many ORO Contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.*

**2. IMPLEMENTATION:** Does the directive contain special implementation requirements and/or dates?  
 No     Yes If yes, describe:

**3. SIGNIFICANT PROVISIONS :** Are there any significant changes or impact?  No     Yes If yes, describe.

**4. OAK RIDGE OPERATIONS OFFICE DIRECTIVE:** Is a new ORO Directive or revision required?  Yes  No  
If yes, target date for submission of Draft ORO Directive to AD-440 is \_\_\_\_\_.

**5. IDENTIFY CONTACT POINT:** Jeanette Miller 576-2654  
Name Telephone

**6. APPROVED BY DIRECTOR:** J. O. Payne, Dir., FM-73 08/19/2003 576-9653  
*Original Signed By*  
Signature Date Telephone

**7. DOE Directives are available on the Internet at <http://www.directives.doe.gov/>. Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.**

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**PART C** (To be completed by the **DIRECTIVES MANAGEMENT GROUP (DMG)**):

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Jennifer G. Hamilton, AD-440 08/19/2003  
*Original Signed By*  
Name Date

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**INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.**  
(Revised 01/10/2003)

# NNSA/YSO DIRECTIVES CONTROL FORM – FINAL DIRECTIVE

## PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DIRECTIVE NUMBER, TITLE, AND DATE:

DOE N 221.9, REPORTING FRAUD, WASTE, AND ABUSE, of 08/06/2003

PURPOSE OF TRANSMITTAL:  New Directive  Revised Directive

DOES THIS DIRECTIVE CANCEL/REPLACE OR EXTEND ANY OTHER DIRECTIVES?  Yes  No  
If "Yes," list what action (cancel/replace or extend) and list the directive(s), including the number(s), title(s), and date(s):

The attached directive is forwarded for review and action. Complete Part B and forward this form to ORO DMG, AD-440, by 08/25/2003.

## PART B (To be completed by the NNSA Y-12 SITE OFFICE, Y12-01):

CONTRACTOR APPLICABILITY:

Does this directive affect the work performed by BWXT Y-12, L.L.C.?  Yes  No

Does this directive affect the work performed by BWXT Y-12, L.L.C., subcontractors?  Yes  No

If "Yes," list the subcontractors: All.

*Many contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.*

SIGNIFICANT PROVISIONS: Are there any significant changes or impact?  Yes  No  
List summary of directive changes and, if "Yes" above, describe the significant changes or impact:

IMPLEMENTATION: Does the directive contain special implementation requirements and/or dates?  Yes  No  
If "Yes," describe:

180 Days from date of notification memo to D. R. Ruddy from Diane McCarten.

FOR DOE DIRECTIVE – SUPPLEMENTAL DIRECTIVE REQUIRED?

Is a new or revised supplemental directive required?  Yes  No

If "Yes," target date for submission of draft supplemental directive is \_\_\_\_\_.

IDENTIFY CONTACT POINT: Sam Gaines 576-0401  
Name Telephone

APPROVED BY PERFORMANCE ASSURANCE MANAGER: Diane McCarten 08/18/2003 576-9330  
Signature Date Telephone  
*Original Signed By*

## PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

*DOE Directives are available on the DOE Directives, Regulations, Policies, and Standards Portal at <http://www.directives.doe.gov/>.  
ORO Directives are available on the ORO Directives Management Group Home Page at [http://www.ornl.gov/doe\\_oro\\_dmg/oro\\_dir.htm](http://www.ornl.gov/doe_oro_dmg/oro_dir.htm).  
Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.*

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Wayne H. Albaugh, AD-440 08/20/2003  
Name Date  
*Original Signed By*

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.

(Revised 01/10/2003)